



Report on the validation of MERIS TOC_VEG land products

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1. Introduction

The objective of this study is to provide some validation elements of the LAI, fAPAR and fCover products derived from the TOA_VEG algorithm (Baret, Pavageau et al., 2006). The algorithm accepts as inputs the top of atmosphere reflectance values as derived from MERIS L1b images.

The validation is the process of assessing by independent means the accuracy of data products derived from the system outputs (Justice, Starr et al., 1998). This will provide the confidence intervals that are mandatory for the users in a number of applications, including those based on a data assimilation approach. However, the validation is a very difficult task particularly regarding the extent of the products (the globe), the spatial resolution (from 300m to 1km), as well as the dynamics of the vegetation. Therefore, the results that will be presented here after could only be considered as a preliminary step before a more rigorous validation exercise. However, the results presented here after, although limited because of the restricted resources available, are approaching those acquired through the validation activity around the MODIS products which benefit from a far larger amount of support...

The validation is generally achieved through two main approaches:

- **Direct validation** which consists in the comparison of the products to ground measured values of the corresponding biophysical variables. Direct comparison with ground measurements have been achieved over a limited number of sites and dates. The few sites and dates that have been sampled during these last years provide high spatial resolution maps of the biophysical variables considered as derived from local ground measurements that have been up-scaled thanks to SPOT or TM high spatial resolution images. However, in addition to the question of the proper uncertainty associated to this ground validation exercise, the necessary small number of sites sampled questions the representativity of this sampling with regards to the global extent targeted. The same applies to the temporal sampling, particularly regarding the large seasonal variation observed for some vegetation types. In this study, we focused on three different sets of ground measurements that took place over a relatively wide range of situations.
- **Indirect validation** that should rather be termed 'evaluation' because it provides only insight into the relative values (from date to date, from place to place, from product to product) of the products. Inter-comparison would be very useful to complement the direct validation exercise by providing a far better sampling, both in space and time because it does not require any ground measurements. In addition, inspection of the smoothness of the time course of the biophysical products at a given site would also yield key information on the sensor and the performances of the algorithms with regards to cloud screening, atmospheric correction, BRDF effects, and soil background possible variations. In this study, we mainly focused on the comparison of our MERIS products to the corresponding ones derived from MODIS, CYCLOPES, ECOCLIMAP, and MERIS-MGVI products. Concurrently, when possible, the spatial and temporal consistency of the products will be also discussed.

2. MERIS data

Twenty four sites have been selected from the BELMANIP network (Baret et al. 2006a) for the evaluation of the NN estimation performances. They correspond to different vegetation types: 17 sites belong to the VALERI project; 7 additional sites from the AERONET network (Holben et al., 1998) are also used. Each site is affixed the biome class of the dominant vegetation type, according to the typology used for the *LAI/fAPAR* MODIS products (Knyazikhin et al., 1998b): 1) grasses and cereal crops, 2) shrubs, 3) broadleaf crops, 4) savannas, 5) broadleaf forests, and 6) needleleaf forests.

name	database	lat	lon	biome class	VALERI <i>LAI; fAPAR; fCover</i>
Concepcion	VALERI	-37.47°	-73.47°	broadleaf forest	3.10; 0.77; 0.46 0.57; 0.12; 0.07
Laprida	VALERI	-36.99°	-60.55°	savanna	
Turco	VALERI	-18.24°	-68.20°	shrub	0.03; 0.03; 0.03 0.002; 0.002; 0.002
Jabiru	AERONET	-12.65°	132.88°	savanna	
AekLoba	VALERI	2.63°	99.58°	broadleaf forest	
Counami	VALERI	5.34°	-53.24°	broadleaf forest	
Ouagadougou	AERONET	12.18°	-1.38°	savanna	
Banizoumbou	AERONET	13.53°	2.65°	grasses and cereal crop	
Gourma	VALERI	15.33°	-1.53°	grasses and cereal crop	
SierraCincua	VALERI	19.67°	-100.28°	needleleaf forest	
Haouz	VALERI	31.66°	-7.60°	shrub	1.19; 0.49; 0.25 0.43; 0.13; 0.09
Barrax	VALERI	39.06°	-2.10°	broadleaf crop	1.08; 0.28; 0.25 0.59; 0.13; 0.11
ZhangBei	VALERI	41.28°	114.68°	grasses and cereal crop	
Sud-Ouest	VALERI	43.50°	1.23°	broadleaf crop	
Puechabon	VALERI	43.72°	3.65°	savanna	
Avignon	AERONET	43.80°	4.70°	broadleaf crop	
Larzac	VALERI	43.95°	3.13°	savanna	
Fundulea	VALERI	44.41°	26.57°	grasses and cereal crop	0.91; 0.34; 0.29 0.36; 0.09; 0.076
Bordeaux	AERONET	44.71°	-0.77°	needleleaf forest	
Larose	VALERI	45.38°	-75.22°	needleleaf forest	3.58; 0.91; 0.85 0.24; 0.02; 0.03
Moldova	AERONET	47.017°	28.75°	broadleaf crop	
Romilly	VALERI	48.33°	3.80°	grasses and cereal crop	
Fontainebleau	AERONET	48.40°	2.67°	broadleaf forest	
Hirsikangas	VALERI	62.52°	27.03°	needleleaf forest	

The available MERIS images over these sites consist in level 1b top of the atmosphere (TOA) reflectance data at the reduced spatial resolution (1200 m). A site extent of 3 x 3 pixels is chosen to minimize possible mis-registration and point-spread function effects as well as to be consistent with the extent of VALERI sites. To avoid non linearity effects, the NN inversions are performed per

pixel and the average of the estimates over the image is then considered. The data were acquired during year 2003, covering the full vegetation cycle. MERIS level 1b TOA reflectances were corrected from atmospheric effects with the SMAC (Simplified Method for Atmospheric Correction) model (Rahman and Dedieu, 1994), once provided the atmosphere parameters of the considered scene. The ozone content used is included in the L1b product, while atmospheric pressure at the surface level and water vapour content derive from the MERIS L2 product. The aerosol optical thickness is estimated from the actual TOA reflectances measured in 13 spectral bands plus the geometry of observation by a dedicated neural network (Béal et al., 2006). The latter was previously trained over radiative transfer model simulations with the above-mentioned canopy and atmosphere models. MERIS images can be contaminated by clouds as, up to now, no efficient cloud screening algorithm was applied to MERIS level 1b products. To eliminate cloud events as much as possible, a simple test for cloud detection based on NDVI (Normalized Difference Vegetation Index) time series was used. The test is based on the assumption that sudden drops in NDVI profiles are mainly related to clouds and poor atmospheric conditions (Viovy et al., 1992). The corresponding observations are therefore discarded to keep the highest NDVI values with the smoothest temporal variation possible. Note that this will also eliminate possible poor atmospheric corrections.

The neural network is then run on the images identified as cloud-free although remaining clouds or poor atmospheric correction may degrade the estimation. Figure 1 shows the relationships between the estimated *LAI*, *fAPAR*, and *fCover*, with the respective theoretical hulls of allowed variation inferred from the training database. The co-distribution of the variable estimates is very similar to that obtained on the testing dataset.

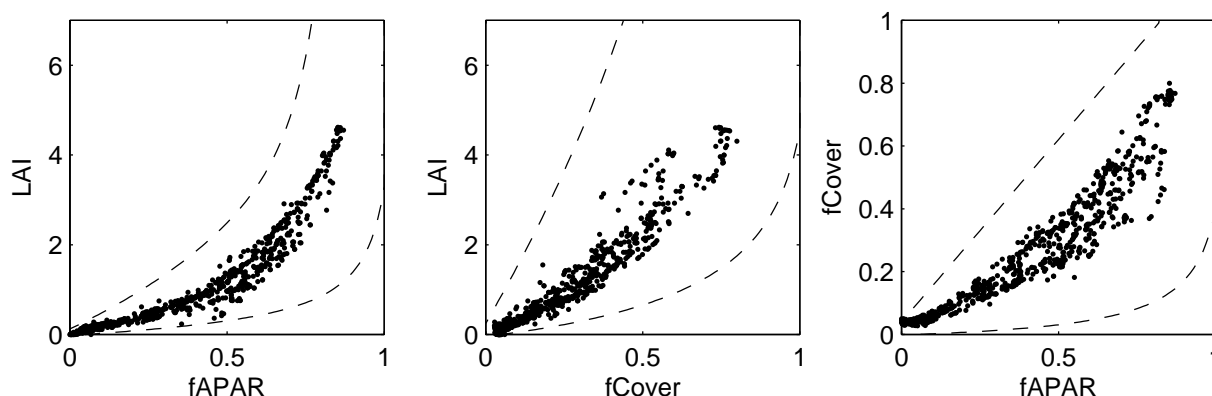


Figure 1: Scatter plots between the various biophysical variable estimates and corresponding hulls of allowed variation.

3. Validation datasets

MODIS *LAI* and *fAPAR* products (MOD15 product, collection 4) are delivered weekly for the same sites, at 1 km spatial resolution. They are derived from the inversion of a three dimensional radiative transfer model using a Look-Up Table approach, tuned for the six previously defined biomes (Knyazikhin et al., 1998b). In case of failure, a back-up estimation replaces the main algorithm. It is based on relationships between the biophysical variables and NDVI calibrated over radiative transfer simulations for each vegetation type. Note that *fAPAR*-MODIS products correspond to collection 4 reprocessed by the Boston University over the validation sites. The MERIS Global Vegetation Index (*MGVI*) (Gobron et al., 1999) is also determined for the same MERIS images. This vegetation index is optimized for the estimation of *fAPAR* (under direct illumination), while minimizing the atmospheric effects, from the top of atmosphere measurements in the MERIS channels at 442, 681 and 865 nm. *MGVI* was calibrated over simulations with a one dimensional radiative transfer model. Contrary to MODIS biophysical products, actual NN estimates and *MGVI* values do not derive from any temporal compositing. They are therefore more subject to noise due to remaining cloud contaminations and to residual variations in atmospheric conditions, as well as estimation uncertainties. In order to perform more consistent comparisons, the temporal profiles of *MGVI* and NN estimates are smoothed by applying a temporal Gaussian filter defined by a time window of 15 days and a 10-day standard deviation.

Ground truth of *LAI*, *fAPAR*, and *fCover*, are made available thanks to the VALERI project (Baret, et al., 2006b). The latter provides high spatial resolution maps of these biophysical variables for the evaluation of the estimation accuracy of the products derived from large swath sensors. A VALERI site is a 3 x 3 km² flat area, thematically homogeneous at the medium resolution scale. The biophysical maps are estimated from the concurrent use of local ground measurements and SPOT imagery. The ground measurements consist in *LAI*2000 measurements or hemispherical photographs providing estimates of *LAI*, *fAPAR* and *fCover*. As a consequence, the measured leaf area index corresponds to an effective *LAI* (that does not consider possible clumping effects), which is in agreement with the assumptions of the SAIL model. The estimates are then related to the corresponding SPOT radiometric data by the determination of site specific transfer functions used to extend the local ground measurements over based on the high spatial resolution SPOT image. The sites used in this study were sampled in 2003: they are namely Concepcion (Chile), Turco (Bolivia), Haouz (Morocco), Barrax (Spain), Fundulea (Romania) and Larose (Canada). The biophysical variables available for validation derive from the aggregation of the high spatial resolution image at 1km resolution. The average of the variables (and the corresponding standard deviation) over the 3 x 3 km² total site area are considered in the following. The averaging allows reducing potential residual misregistration between the VALERI sites and the MERIS or MODIS images, all data having been re-projected in UTM/WGS84.

4. Comparison to MODIS and MGVI products

The observation dates of MERIS and MODIS are not strictly concurrent, the same applying to the VALERI measurements. The following intercomparison is restricted to the data which acquisition dates do not depart from 7 days. Only small variation of the surface characteristics is therefore expected within a 7-day interval.

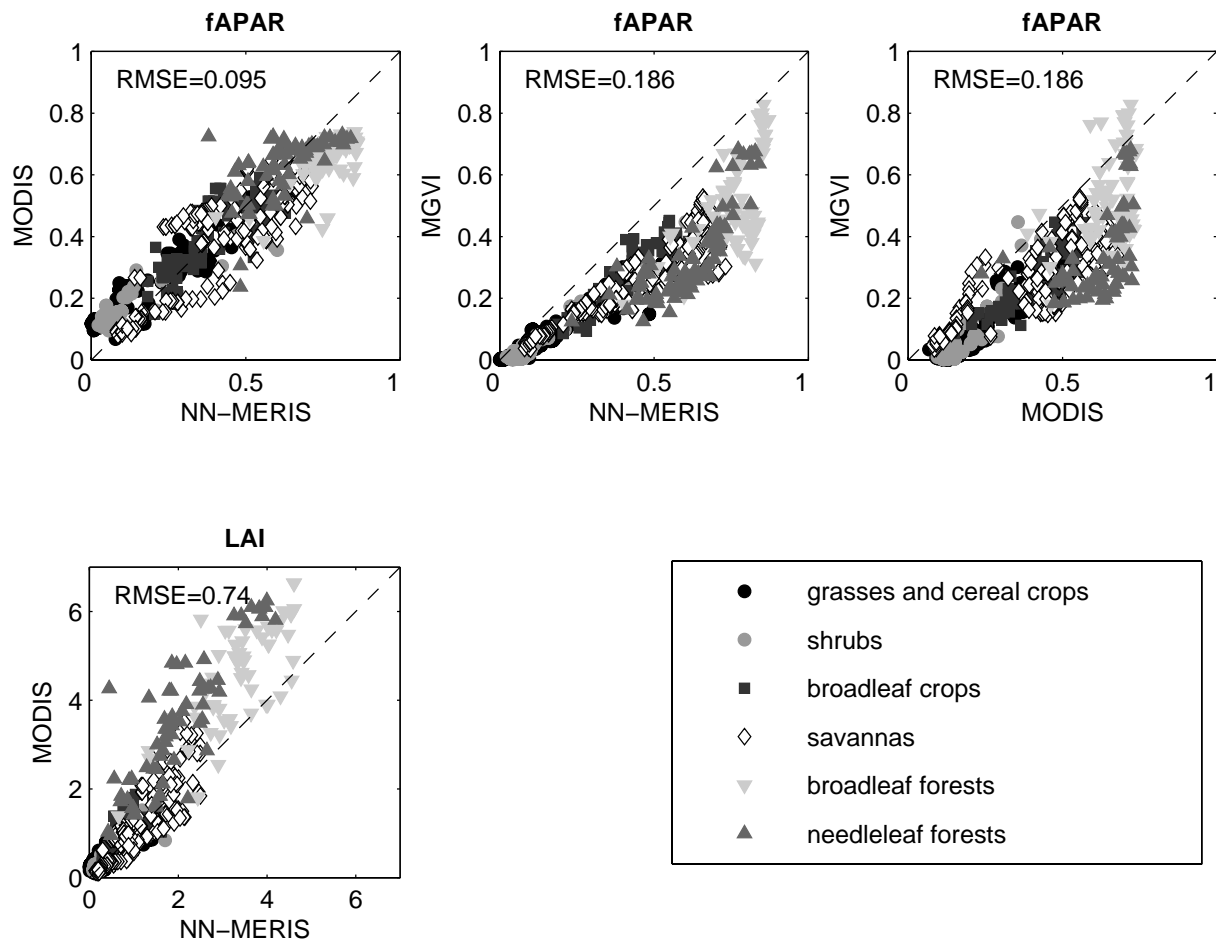


Figure 2: Scatter plots of the *fAPAR* (top) and LAI (bottom) estimates between the different biophysical products (MODIS, NN-MERIS, and MGVI). Each symbol corresponds to a given biome. The Root Mean Square Error (RMSE) between the estimated values taken two by two is also provided.

A good agreement between MODIS and NN-MERIS is observed both for the leaf area index (RMSE = 0.74) and *fAPAR* (RMSE below 0.1), all biomes included (Figure 2). As shown by Table 1, the differences between NN-MERIS- and MODIS- *fAPAR* estimates are similar for the various biomes. *fAPAR*-NN-MERIS is slightly lower than *fAPAR*-MODIS for values beyond 0.6. The differences between NN-MERIS- and MODIS- *fAPAR* estimates are similar for the various biomes. Oppositely, the disagreement between LAI-NN-MERIS and LAI-MODIS is significantly greater for the densest canopies, that is broadleaf and needleleaf forests. The NN estimates are lower than the MODIS products for LAI greater than 3 typically although a slight overestimation by the NN of actual LAI values between 2 and 5 (Figure 2b) was expected. The absence of clumping in our NN algorithm may partly explain this feature. On the other hand several studies have pointed out a tendency of the LAI-MODIS algorithm to overestimate actual leaf surface areas in the case of forests (Cohen et al. 2003; Fang and Liang, 2005; Wang et al., 2004). The agreement for the retrieved LAI is generally good for the other biomes.

MGVI values are systematically lower than the other *fAPAR* estimates. Such disagreement with the neural network results was unforeseen as both *MGVI* and *fAPAR*-NN-MERIS derive from the same observations.

The three sites over which the temporal consistency was evaluated (Figure 3) show consistent dynamics between the NN-MERIS, MODIS and *MGVI*, products. Differences nevertheless arise for the Gourma site, for leaf production and senescence periods: the slopes of *LAI* growth and decrease are sharper for the *LAI*-NN than that inferred from MODIS. They may result from the fact that MODIS estimates derive from a temporal compositing and are provided weekly whereas NN-MERIS, even though smoothed temporally, are estimated daily. The main noticeable differences come from the relative levels of the estimated variables as previously mentioned. *LAI*-NN estimates are generally lower than the corresponding MODIS product. In all these cases, the joint variation of *LAI* and *fAPAR* is in agreement with our expectations of the vegetation cycle. For Larose, whereas the estimation error for *LAI* was expected to be more important as for the cases of dense canopies, it appears that the NN estimates are closer to the VALERI ground truth than the MODIS or *MGVI* products. The erratic temporal variations of the NN estimated variables before the temporal smoothing (circles on Figure 3) gives an appraisal of the estimation uncertainty. They are mainly attributed to changes in the radiometric signal due to atmospheric effects and variations in the observation geometry. They thus reveal the sensitivity of the NN algorithm to errors in atmospheric corrections or cloud detection. Here, the estimation uncertainty is relatively small as the points do not depart too much from the time composite values (grey line).

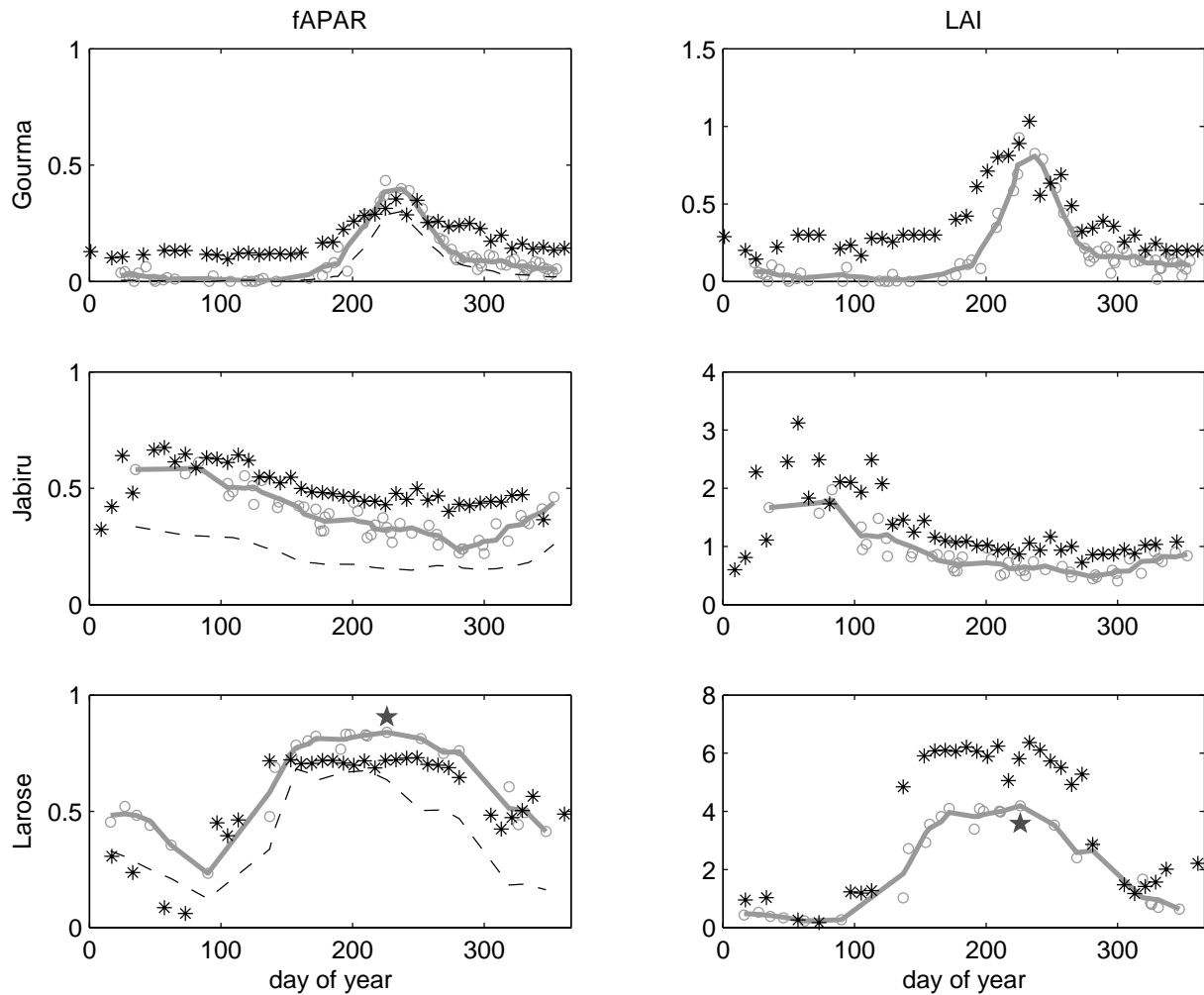


Figure 3: Examples of *fAPAR* (left) and *LAI* (right) time series for the Gourma (Mali, grassland), Jabiru (Australia, savanna), and Larose (Canada, mixed forest), sites. MODIS estimates are represented by stars (*); *MGVI* appears as a dashed line; original NN estimates before the temporal smoothing are represented by circles (o) while the time composite values are shown as the thick

grey line. The VALERI ground truth acquired the day 231 in Larose is represented by a pentagram (★).

5. Evaluation of the spatial consistency

The spatial consistency of the biophysical products inferred from a MERIS image was evaluated on an image acquired on October 20th, 2002 over the South-West of France and the Northern part of Spain (about 600x600 km²) (Figure 4). Water and obvious cloud pixels were flagged. The maps *fAPAR*, *LAI*, *fCover*, and *LAIxCab* estimated from MERIS show the same spatial structures (Figure 4d, e, f, g) with smooth spatial variations, and are very consistent with the biome classification map of the same region (Figure 4a). NN-MERIS estimates are also evaluated with respect to the monthly MODIS products at 1 km resolution (Figure 4b, c).

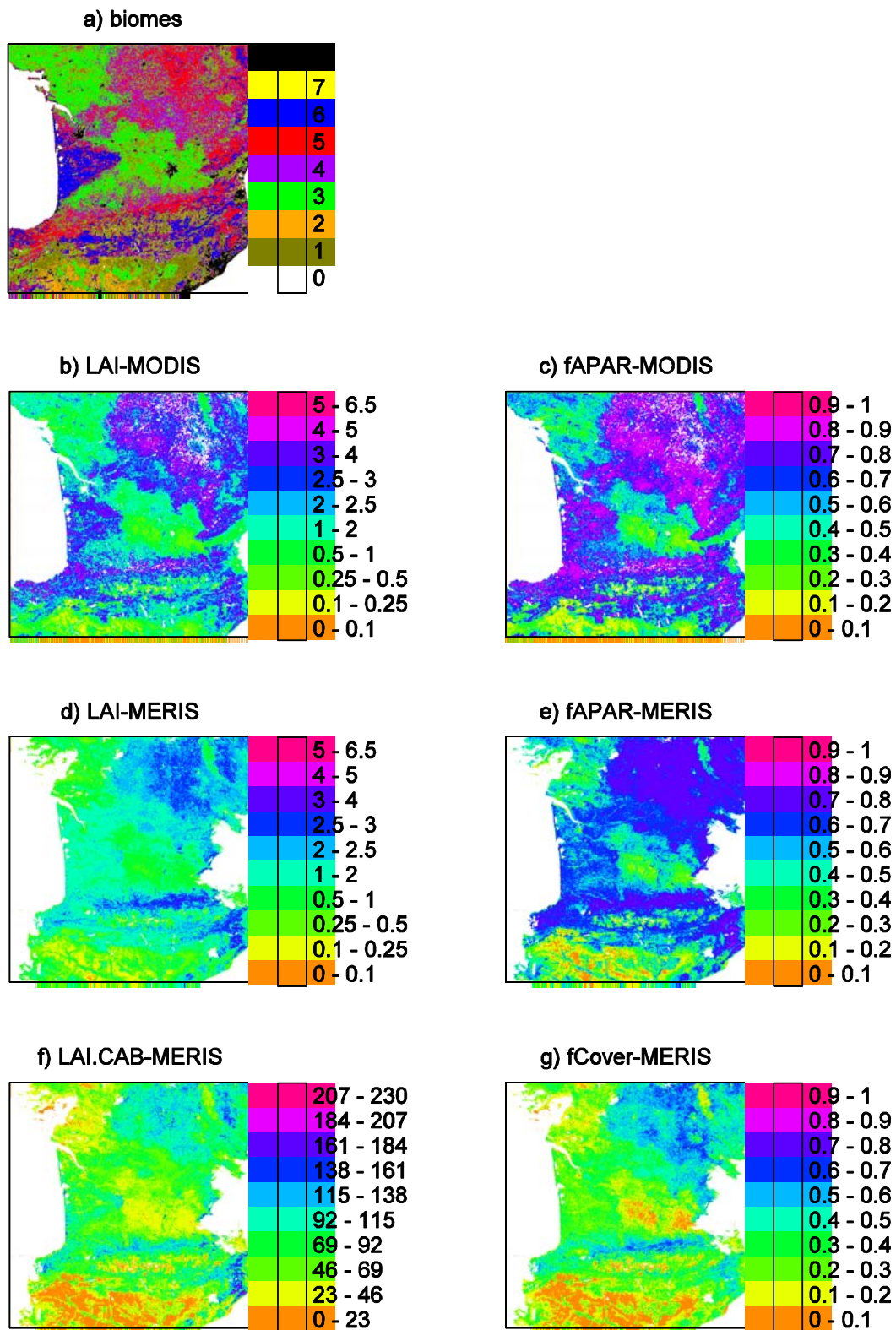


Figure 4: a) Biome classification map of the region covering South-West of France and North of Spain: 0) water, 1) grasses and cereal crops, 2) shrubs, 3) broadleaf crops, 4) savannas, 5) broadleaf forests, 6) needleleaf forests, 7) unvegetated areas, 8) urban areas. **b-c)** Corresponding monthly MODIS products of *LAI* and *fAPAR*. **d-g)** Maps of the NN-MERIS estimates of *LAI*, *fAPAR*, *LAIxCab* ($\mu\text{g}\cdot\text{cm}^{-2}$), and *fCover*. The original MERIS image was acquired on the October 20th, 2002.

Generally, the lowest vegetation amounts (according to the retrieved values of the biophysical variables) are found for the areas covered with shrubs, grasses and cereal crops, and broadleaf crops. This is consistent with the acquisition date and the vegetation cycle, most of the agricultural crops being already harvested. The denser canopies correspond to pixels classified as savannas, and forests (broadleaf and needleleaf). The spatial structures of the *fAPAR* maps for NN-MERIS

and MODIS are in good agreement, MODIS estimates being generally higher. Differences are more pronounced regarding the retrieved *LAI* values. They are generally greater for MODIS, especially for the forest pixels (as it has been previously noted), with common values above 4 up to 6.5. Such high leaf area density may be overestimated regarding to biome type and vegetation cycle. In comparison, the maximum *LAI* for NN-MERIS is 3.7. NN results retrieved over the Landes forest (needleleaf) reveal interesting features, likely highlighting algorithmic problems that should be corrected in the future. MODIS products exhibit for this region bordering the Atlantic shore (the blue triangle in Figure 4a) a rather high vegetation amount with *LAI* values typically between 3 and 5, and *fAPAR* values above 0.8. For the NN-MERIS estimates, *LAI* is in the 1.5-2.5 range while *fAPAR* varies in between 0.5 and 0.8; at the same time, estimated *fCover* values do not exceed 0.4. These results are likely not plausible and underestimate actual canopy characteristics. On another hand, these *LAI* estimates are consistent with ground measurements performed at the Nezer-VALERI site (located in the Landes) with a LAI2000 instrument in 2000 with a median measured *LAI* around 1.9. These results probably indicate that the leaf clumping (no clumping in SAIL and in the LAI2000 processing principles) may explain this feature.

6. Validation with respect to VALERI *in situ* measurements

Except for Concepcion and Fundulea, no MERIS observation is strictly concomitant with the ground measurements that were performed only once in 2003. The temporal shift is of 1 day for Barrax, 5 for Larose, 6 for Turco, and 14 for Haouz. Nevertheless, the time difference is small enough to assume that changes in the vegetation amount are small.

	grasses and cereal crops	shrubs	broadleaf crops	savannas	broadleaf forests	needleleaf forests
<i>fAPAR</i>	0.08	0.08	0.08	0.10	0.14	0.10
<i>LAI</i>	0.21	0.13	0.34	0.43	1.43	1.66

Table 1 : Root Mean Square Error between NN-MERIS and MODIS estimates of *fAPAR* and *LAI* with respect to the biome type.

In all cases, NN-MERIS provides the best agreement with the VALERI *in situ* measurements (Figure 5). *fAPAR* and *fCover* values are well distributed around the 1:1 line whereas *LAI* values seem to slightly overestimate the ground truth for the surfaces with higher vegetation coverage. Such overestimation is also observed for *LAI-MODIS* for the Concepcion and Larose sites. As expected according to the previous findings, *MGVI* underestimates *fAPAR-VALERI* values. However, regarding to the limited number of validation points, it is difficult to draw definitive conclusions on the accuracy of the various estimation algorithms from this sole validation exercise. In particular, the lack of sites with high *LAI* values does not permit to evaluate the reliability of the approaches when the radiometric signal tends to saturate.

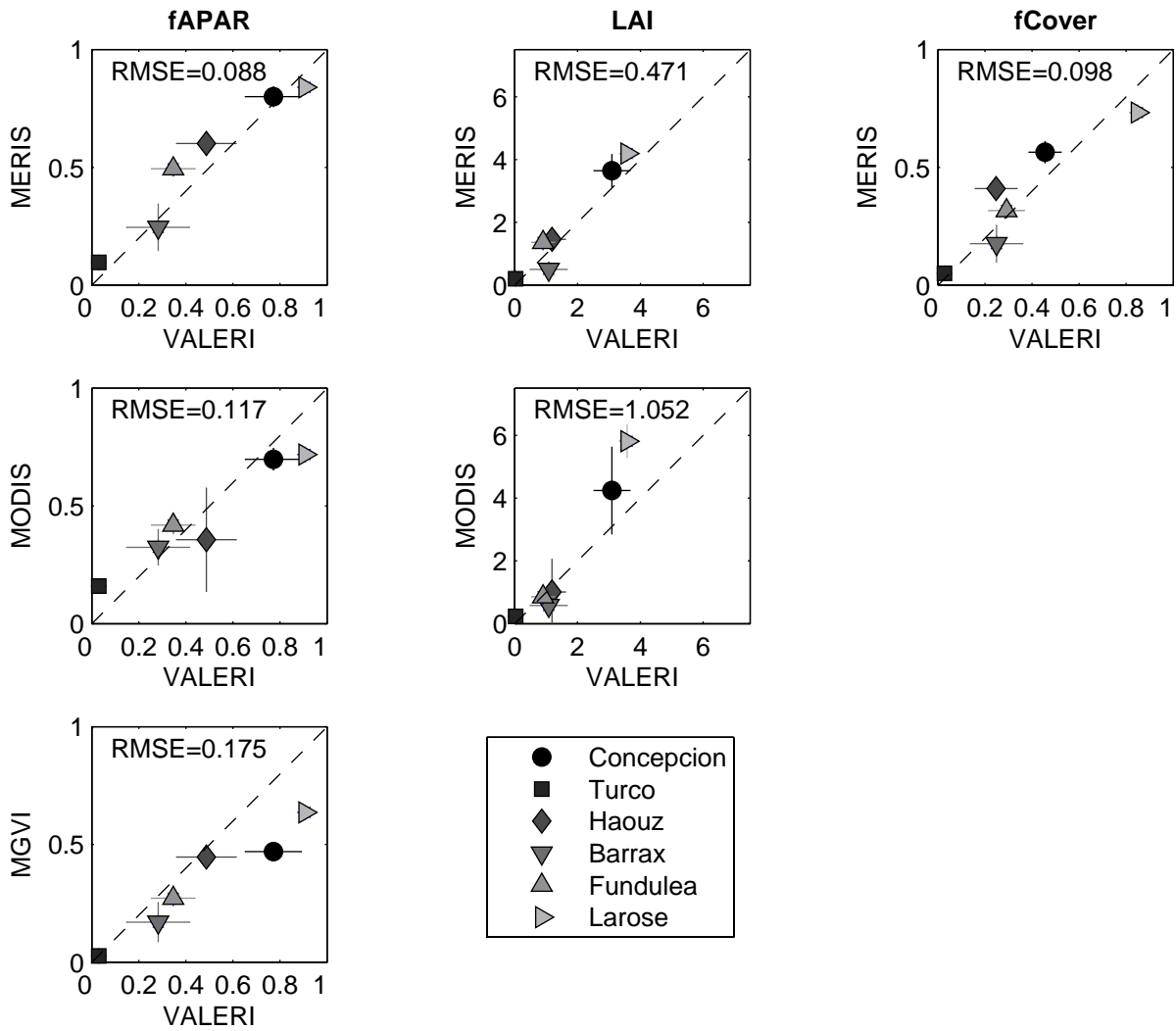


Figure 5: Validation of the NN-MERIS (top), MODIS (middle), and *MGVI* (bottom), biophysical products against VALERI ground truth. For the spaceborne estimates, the errorbars correspond to the standard deviation of the values estimated on the 3 x 3 pixels; for the *in situ* measurements, they correspond to the standard deviation of the 1km resolution data aggregated at 3 x 3 km².

7. Conclusion

A neural network algorithm for the estimation of canopy biophysical variables from MERIS imagery (at full and reduced resolutions) is proposed. It is designed to jointly estimate the leaf area index, the fraction of absorbed photosynthetically active radiation, the fractional vegetation cover and the canopy chlorophyll content, from a single observation, once provided the top of canopy reflectance measurements in 11 spectral bands and the geometry of observation. The network was trained on a synthetic dataset made of radiative transfer model simulations by the PROSPECT+SAIL models. Its estimation performances were evaluated with respect to MODIS (*LAI* and *fAPAR*) and *MGVI*-MERIS products on a selection of sites corresponding to various biome types and vegetation cycles. A systematic bias was found between the *MGVI* and the *fAPAR* retrieved from NN-MERIS and MODIS. On another hand, the results have shown a general good agreement between NN-MERIS and MODIS estimates for *LAI* and *fAPAR*. Nevertheless, NN-MERIS tends to retrieve lower *LAI* values than the MODIS algorithm for broadleaf and needleleaf forests. The validation of the remotely sensed variables over six VALERI sites sampled in 2003 revealed a remarkable estimation accuracy of the NN algorithm as compared to the other biophysical products. These findings are partly explained by the same definition of *LAI* (effective *LAI*) that is used both for the VALERI measurements and for the NN *LAI* product. To draw more reliable statistics on the actual estimation performances of the NN algorithm, it is mandatory to extend this validation exercise by using more sites and increasing the frequency of the *in situ* measurements to better monitor the vegetation cycles.

For the moment, the estimation reliability of biophysical variables from MERIS measurements is limited by the lack of an operational cloud filtering implemented within the processing chain. Important efforts should therefore be undertaken to solve this critical issue before accurate products can be produced and used operationally. Potential improvements of the current algorithm are also being investigated to increase its estimation performances. The MODIS algorithm is biome specific while our neural network one is not. The use of a generic NN, designed to evenly operate on any vegetation type, may has shown its limits here when applied on the Landes forest (needleleaves). The somewhat unrealistic estimates of the structural variables partly derive from the under-representation of the particular features of needleleaf canopies in the training process (distribution of the biophysical variables and adequacy of the radiative transfer simulations). The simple radiative transfer model, although proving quite efficient, could be replaced by a more realistic one where leaf clumping could be better accounted for. However, when defining the *LAI* as the effective one, the current simple radiative transfer seemed performing well. As the correction of the atmospheric effects is an important step of the estimation process, the possibility has been examined to couple atmosphere and canopy radiative transfer models for the training of the neural network. This would allow deriving biophysical variables directly from top of atmosphere observations. An improved version of the algorithm capitalizing on actual MERIS measurements is planned to better account for the uncertainties on variables and measurements-model.

8. References

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